

THE GEORGE WASHINGTON UNIVERSITY

WASHINGTON DC

EXPORT CONTROLS ASSESSMENT

This questionnaire is for use by any member of the University community in assessing U.S. export control issues in general. For those activities related to research projects, the faculty/staff member and/or Principal Investigator (PI) for a research project is the person most qualified to provide information necessary to determine whether the activities are subject to restrictions imposed by export control laws.

PLEASE NOTE: If the answer to ANY of the questions below is "YES", or if you have other questions related to export controls, please contact the RMOEP Office at: (202) 994.2312 or via email at: exportcontrol@gwumc.edu.

Does your planned activity/project or contract:		YES	NO																						
1.	Involve requirements or contain language referring to or mandating compliance with export laws or regulations?																								
2.	Involve the development, sharing, shipping, transmitting or transferring encryption software in source code or object code (including travel outside the country with such software)? (Check the websites below for the expanded definition of encryption software). <i>Export Administration Regulations (EAR), Commerce Control List (CCL):</i> http://www.access.gpo.gov/bis/ear/pdf/indexccl.pdf <i>International Traffic and Arms Regulations (ITAR):</i> http://www.fas.org/spp/starwars/offdocs/itar/p121.htm																								
3.	Provide training to nationals or entities in a sanctioned country, or to foreign nationals/entities <i>from</i> a sanctioned country? (See OFAC's list of sanctioned countries, http://www.treas.gov/offices/enforcement/ofac/programs/)																								
4.	Involve shared technical information that is NOT in the public domain (e.g., published, patented or generally accessible to the public)?																								
5.	Limit or prohibit foreign nationals from performing work, or accessing the certain information or results, <u>OR</u> involve the sharing of technical data or information with a foreign national on an activity be conducted outside of a University-catalogued course or associated laboratory? (Check the websites below for regulated technology, information and commodities). EAR: http://www.access.gpo.gov/bis/ear/pdf/indexccl.pdf ITAR: http://www.fas.org/spp/starwars/offdocs/itar/p121.htm																								
6.	Involve teaching activities or research that will be conducted outside the U.S.?																								
7.	Involve travel to a sanctioned country? (Check the website below for a list of sanctioned/embargoed countries)? <i>Department of the Treasury, Office of Foreign Assets Control (OFAC):</i> http://www.treas.gov/offices/enforcement/ofac/programs/																								
8.	Involve payments or anything of value to sanctioned countries or foreign nationals/entities from any sanctioned country? (e.g., training, humanitarian aid, fees, etc.) http://www.treas.gov/offices/enforcement/ofac/programs/																								
9.	Restrict publication or presentation of the results in any way?																								
10.	Involve an external sponsor, vendor, collaborator or other third party, under a Non-disclosure or Confidentiality agreement, OR prohibits any part of the sponsoring, granting, or establishing documents from being disclosed?																								
11.	Limit participation (faculty, student, others) based on country of origin or citizenship?																								
12.	Involves the sharing, shipment, transmission or transfer of item, information or software from the categories listed below? Check all that apply: <table style="width: 100%; border: none;"> <tr> <td><input type="checkbox"/> Nuclear materials, Facilities & Equipment (& Misc. items)</td> <td><input type="checkbox"/> Firearms, Guns/Armament or Ammunition</td> </tr> <tr> <td><input type="checkbox"/> Materials, Chemicals, Micro-organisms or Toxins</td> <td><input type="checkbox"/> Launch Vehicles/Missiles/Rockets/Bombs</td> </tr> <tr> <td><input type="checkbox"/> Materials Processing</td> <td><input type="checkbox"/> Explosives/Propellants/Incendiary Agents</td> </tr> <tr> <td><input type="checkbox"/> Electronics</td> <td><input type="checkbox"/> Vessels of War/Special Naval Equipment</td> </tr> <tr> <td><input type="checkbox"/> Computers</td> <td><input type="checkbox"/> Tanks and Military Vehicles, Aircraft/Equip.</td> </tr> <tr> <td><input type="checkbox"/> Telecommunications and Information Security</td> <td><input type="checkbox"/> Military Training/Protective Equipment</td> </tr> <tr> <td><input type="checkbox"/> Sensors and Lasers</td> <td><input type="checkbox"/> Fire Control/Optical & Guidance Equipment</td> </tr> <tr> <td><input type="checkbox"/> Navigation and Avionics</td> <td><input type="checkbox"/> Military Electronics/Auxiliary Mi. Equipment</td> </tr> <tr> <td><input type="checkbox"/> Marine (ships and vessels)</td> <td><input type="checkbox"/> Nuclear Weapons Design/Test Equipment</td> </tr> <tr> <td><input type="checkbox"/> Propulsions Systems, Spacecraft Systems/Vehicles</td> <td><input type="checkbox"/> Classified Articles/Tech. Data/ Defense Svcs.</td> </tr> <tr> <td><input type="checkbox"/> Submersible Vessels, Oceanographic & Assoc. Equipment</td> <td><input type="checkbox"/> Directed Energy Weapons</td> </tr> </table>	<input type="checkbox"/> Nuclear materials, Facilities & Equipment (& Misc. items)	<input type="checkbox"/> Firearms, Guns/Armament or Ammunition	<input type="checkbox"/> Materials, Chemicals, Micro-organisms or Toxins	<input type="checkbox"/> Launch Vehicles/Missiles/Rockets/Bombs	<input type="checkbox"/> Materials Processing	<input type="checkbox"/> Explosives/Propellants/Incendiary Agents	<input type="checkbox"/> Electronics	<input type="checkbox"/> Vessels of War/Special Naval Equipment	<input type="checkbox"/> Computers	<input type="checkbox"/> Tanks and Military Vehicles, Aircraft/Equip.	<input type="checkbox"/> Telecommunications and Information Security	<input type="checkbox"/> Military Training/Protective Equipment	<input type="checkbox"/> Sensors and Lasers	<input type="checkbox"/> Fire Control/Optical & Guidance Equipment	<input type="checkbox"/> Navigation and Avionics	<input type="checkbox"/> Military Electronics/Auxiliary Mi. Equipment	<input type="checkbox"/> Marine (ships and vessels)	<input type="checkbox"/> Nuclear Weapons Design/Test Equipment	<input type="checkbox"/> Propulsions Systems, Spacecraft Systems/Vehicles	<input type="checkbox"/> Classified Articles/Tech. Data/ Defense Svcs.	<input type="checkbox"/> Submersible Vessels, Oceanographic & Assoc. Equipment	<input type="checkbox"/> Directed Energy Weapons		
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